# **NB Private Markets Access Fund LLC**

# **Subscription Agreement**

# for

# LPL FINANCIAL LLC USE ONLY

Thank you for your interest in **NB Private Markets Access Fund LLC** (the "Access Fund"). This subscription agreement relates to the Access Fund's offering of limited liability company interests ("Interests") and is for <u>LPL Financial LLC's authorized use only</u>. For anyone else interested in the Access Fund, please contact your Neuberger Berman Alternative Client Advisor or email <u>NBPrivateMarketsIR@nb.com</u>.

## For LPL Advisers and Clients:

The Access Fund offers monthly subscriptions. Completed subscription agreements must be received by the LPL home office at least five business days prior to the month end deadline.

Completed Subscription Agreements may be sent to any of the following:

Mailing Address:	Fax:	Email:
1055 LPL Way Fort Mill, SC 29715 Attn: Alternative Investments	858-202-8325	Imaging.email@lpl.com

LPL offers two share classes of the Access Fund: **Class A-2 Shares and Institutional Class Shares**. Investors purchasing Class A-2 Shares may be charged a maximum upfront placement fee of up to 3.00% of the investment amount. Institutional Class Shares do not have a sales load or upfront placement fee. The initial investment minimum for each class is \$50,000, with subsequent investment minimums set at \$10,000.

## Wiring Instructions:

LPL clients must fund all Access Fund subscription through their LPL account.

## For Additional Information:

For additional information and questions, please contact: (800) 877-7210 | Follow prompts, this service line is available Monday – Friday, 9:00 AM ET - 6:30 PM ET

# LPL Financial LLC – Upfront Placement Fee

In connection with your commitment to invest in **NB Private Markets Access Fund LLC**. (the "Fund"), LPL Financial LLC ("LPL") will charge a one-time upfront placement fee (the "Placement Fee"), as set forth below, based on the amount of your capital commitment to the Fund.

Capital Commitment Amount	Maximum Placement Fee Rate (% of Capital Commitment)	
\$50,000 – \$99,999	0% - 3.0%	
\$100,000 - \$249,000	0% - 2.0%	
\$250,000 - \$999,999	0% - 1.5%	
\$1,000,000 - \$4,999,999	0% - 1.0%	
\$5,000,000 and above	0%	

The schedule above reflects the maximum upfront Placement Fee that your LPL Financial Adviser can charge based on your capital commitment amount. The Placement Fee may be waived or reduced in increments of 0.50%. The Placement Fee is charged based on your total capital commitment amount and does not constitute part of your capital contribution to the Fund. As a result, payment of the Placement Fee does not reduce the amount of your capital commitment to the Fund.

# PLEASE NOTE THAT THIS PAGE MUST BE COMPLETED AND SUBMITTED WITH THE FUND'S SUBSCRIPTION AGREEMENT, WHICH ACCOMPANY THIS NOTICE.

Subscriber's Name:	
Subscriber's Signature:	
Date:	
Investment Amount:	
Applicable Placement Fee Percentage:	
Placement Fee (Provide \$ Amount):	

(CRM Client ID (internal use only))

(AIS/CRM Opportunity ID (internal use only))

Advisor Name

Advisor Email Address

(CRM Instance (internal use only))

# NB PRIVATE MARKETS ACCESS FUND UMB COVER SHEET ADDITIONAL INVESTMENT FORM

NEUBERGER BERMAN

## Additional Investment Form

Shareholders that wish to make an additional investment in NB Private Markets Access Fund LLC (the "Fund") may do so by completing this form. The minimum additional investment in the Fund is at least \$10,000.

### Instructions

To complete, please electronically fill in the appropriate responses and save an electronic PDF copy to your team's FTP folder using the following naming convention - "*Account Name\_*Subsequent.pdf".

Please note that subscriptions are subject to the receipt of cleared funds on or prior to the acceptance dates set forth below. An investor who misses the funding date will have the acceptance of the additional subscription in the Fund delayed until the following month. In the event that cleared funds and/or a properly completed additional investment form are not received prior to the deadline for this month's closing, the Fund may hold the relevant funds and subscription document for processing until the next monthly closing date.

All completed and signed forms must be received **FIVE (5) BUSINESS DAYS** before the end of the month for a subscription to be accepted.

All wired amounts must be received **THREE (3) BUSINESS DAYS** before the end of the month for a subscription to be accepted and effective as of the beginning of the month immediately following such receipt.

NOTE that subscriptions by individual retirement accounts (IRAs) require the signature of the qualified IRA custodian or trustee of the IRA.

### Additional Information

All questions regarding the completion of this form should be directed to your financial adviser or to the Neuberger Berman Investor Relations Team at NBPMAF@nb.com.

## NB Private Markets Access Fund LLC

The undersigned, an existing shareholder of NB Private Markets Access Fund LLC (the "Fund"), hereby requests to make an additional subscription to the Fund. The additional amount to be invested is indicated below. The undersigned hereby acknowledges and agrees that such additional investment, if accepted by the Fund, will be governed by the terms and conditions set forth in the Fund's Prospectus and in the original subscription agreement previously executed by the undersigned and accepted by the Fund (the "Subscription Agreement"). The undersigned hereby represents and warrants that all representations and warranties made by the undersigned in the Subscription Agreement are true and correct in all material respects as of the date set forth below. If there should be any change in facts or circumstances as a result of which the undersigned would no longer be able to make such representation or warranty, the undersigned will promptly notify the Fund of such change.

#### SHAREHOLDER INFORMATION

Shareholder Name:

(Account name needs to match the account name used to subscribe to the Fund)

TA Acct Number:	
(per your Fund Ad	dministrator statement)

	Additional	Investment	Amount:
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Custodian (IRA only):

### SIGNATURES (ALL ACCOUNT OWNERS MUST SIGN BELOW)

Signature of Owner, Trustee or Custodian

Printed Name of Authorized Signer

Signature of Joint Owner, Trustee or Custodian (if applicable)

Printed Name of Second Authorized Signer

Signature of Additional Owner, Trustee or Custodian (if applicable)

Printed Name of Third Authorized Signer

Title of Signatory (if applicable)

Date

Date

\_and/or NFS Acct Number: \_\_\_\_\_

Title of Signatory (if applicable)

Date

Title of Signatory (if applicable)